# PATENT COOPERATION TREATY

From the INTERNATIONAL SEARCHING AUTHORITY	PCT		
To:  MAIWALD PATENTANWALTS GMBH Attn. Huenges, Martin Elisenhof Elisenstrasse 80335 München GERMANY  19, April 2005  MÜNCHEN	NOTIFICATION OF TRANSMITTAL OF THE INTERNATIONAL SEARCH REPORT AND THE WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY, OR THE DECLARATION  (PCT Rule 44.1)		
19.C6.05 not is	Date of mailing (day/month/year) 19/04/2005		
Applicant's or agent's file reference A 19 N 7196/KK	FOR FURTHER ACTION See paragraphs 1 and 4 below		
International application No. PCT/EP2004/014867	International filing date (day/month/year) 30/12/2004		
Applicant  NCTENGINEERING GMBH			
The applicant is hereby notified that the international search report and the written opinion of the International Searching Authority have been established and are transmitted herewith.  Filling of amendments and statement under Article 19:  The applicant is entitled, if he so wishes, to amend the claims of the International Application (see Rule 46):  When? The time limit for filing such amendments is normally 2 months from the date of transmittal of the International Search Report; however, for more details, see the notes on the accompanying sheet.  Where? Directly to the International Bureau of WIPO, 24 chemin des Colombettes  1211 Geneva 20, Switzerland, Fascimile No.: (41–22) 740.14.35  For more detailed instructions, see the notes on the accompanying sheet.  2. The applicant is hereby notified that no International search report will be established and that the declaration under Article 17(2)(a) to that effect and the written opinion of the International Searching Authority are transmitted herewith.  3. With regard to the protest against payment of (an) additional fee(s) under Rule 40.2, the applicant is notified that:  the protest together with the decision thereon has been transmitted to the International Bureau together with the applicant's request to lorward the texts of both the protest and the decision thereon to the designated Offices.  no decision has been made yet on the protest; the applicant will be notified as soon as a decision is made.  4. Reminders  Shortly after the expiration of 18 months from the priority date, the international application will be published by the international publication, or of the priority claim, must reach the international publication, a notice of withdrawal of the international application or of the priority claim, must reach the international publication, a notice of withdrawal of the international application or of the priority claim, must reach the international publication.  The applicant may submit comments on an international bureau as provided in Rules 901			
Name and mailing address of the International Searching Authority  European Patent Office, P.B. 5818 Patentlaan 2  NL-2280 HV Rijswijk  Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016	Authorized officer  Cora Dreyer		

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### NOTES TO FORM PCT/ISA/220

These Notes are intended to give the basic instructions concerning the filing of amendments under article 19. The Notes are based on the requirements of the Patent Cooperation Treaty, the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and those requirements, the latter are applicable. For more detailed information, see also the PCT Applicant's Guide, a publication of WIPO.

In these Notes, "Article", "Rule", and "Section" refer to the provisions of the PCT, the PCT Regulations and the PCT Administrative Instructions respectively.

### INSTRUCTIONS CONCERNING AMENDMENTS UNDER ARTICLE 19

The applicant has, after having received the international search report, one opportunity to amend the claims of the international application. It should however be emphasized that, since all parts of the international application (claims, description and drawings) may be amended during the international preliminary examination procedure, there is usually no need to file amendments of the claims under Article 19 except where, e.g. the applicant wants the latter to be published for the purposes of provisional protection or has another reason for amending the claims before international pbulication. Furthermore, it should be emphasized that provisional protection is available in some States only.

### What parts of the international application may be amended?

Under Article 19, only the claims may be amended.

During the international phase, the claims may also be amended (or further amended) under Article 34 before the International Preliminary Examining Authority. The description and drawings may only be amended under Article 34 before the International Examining Authority.

Upon entry into the national phase, all parts of the international application may be amended under Article 28 or, where applicable, Article 41.

### When?

Within 2 months from the date of transmittal of the international search report or 16 months from the priority date, whichever time limit expires later. It should be noted, however, that the amendments will be considered as having been received on time if they are received by the International Bureau after the expiration of the applicable time limit but before the completion of the technical preparations for international publication (Rule 46.1).

### Where not to file the amendments?

The amendments may only be filed with the International Bureau and not with the receiving Office or the International Searching Authority (Rule 46.2).

Where a demand for international preliminary examination has been is filed, see below.

### How?

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Either by cancelling one or more entire claims, by adding one or more new claims or by amending the text of one or more of the claims as filed.

A replacement sheet must be submitted for each sheet of the claims which, on account of an amendment or amendments, differs from the sheet originally filed.

All the claims appearing on a replacement sheet must be numbered in Arabic numerals. Where a claim is cancelled, no renumbering of the other claims is required. In all cases where claims are renumbered, they must be renumbered consecutively (Administrative Instructions, Section 205(b)).

The amendments must be made in the language in which the international application is to be published.

### What documents must/may accompany the amendments?

### Letter (Section 205(b)):

The amendments must be submitted with a letter.

The letter will not be published with the international application and the amended claims. It should not be confused with the "Statement under Article 19(1)" (see below, under "Statement under Article 19(1)").

The letter must be in English or French, at the choice of the applicant. However, if the language of the international application is English, the letter must be in English; if the language of the international application is French, the letter must be in French.

Notes to Form PCT/ISA/220 (first sheet) (January 1994)

### NOTES TO FORM PCT/ISA/220 (continued)

The letter must indicate the differences between the claims as filed and the claims as amended. It must, in particular, indicate, in connection with each claim appearing in the international application (it being understood that identical indications concerning several claims may be grouped), whether

- (i) the claim is unchanged;
- (ii) the claim is cancelled:
- (iii) the claim is new;
- (iv) the claim replaces one or more claims as filed;
- (v) the claim is the result of the division of a claim as filed.

# The following examples illustrate the manner in which amendments must be explained in the accompanying letter:

- [Where originally there were 48 claims and after amendment of some claims there are 51]:
   \*Claims 1 to 29, 31, 32, 34, 35, 37 to 48 replaced by amended claims bearing the same numbers; claims 30, 33 and 36 unchanged; new claims 49 to 51 added.\*
- [Where originally there were 15 claims and after amendment of all claims there are 11]:
   "Claims 1 to 15 replaced by amended claims 1 to 11."
- [Where originally there were 14 claims and the amendments consist in cancelling some claims and in adding new claims]:
   "Claims 1 to 6 and 14 unchanged; claims 7 to 13 cancelled; new claims 15, 16 and 17 added." or
   "Claims 7 to 13 cancelled; new claims 15, 16 and 17 added; all other claims unchanged."
- 4. [Where various kinds of amendments are made]: "Claims 1-10 unchanged; claims 11 to 13, 18 and 19 cancelled; claims 14, 15 and 16 replaced by amended claim 14; claim 17 subdivided into amended claims 15, 16 and 17; new claims 20 and 21 added."

### "Statement under article 19(1)" (Rule 46.4)

The amendments may be accompanied by a statement explaining the amendments and indicating any impact that such amendments might have on the description and the drawings (which cannot be amended under Article 19(1)).

The statement will be published with the international application and the amended claims.

It must be in the language in which the international appplication is to be published.

It must be brief, not exceeding 500 words if in English or if translated into English.

It should not be confused with and does not replace the letter indicating the differences between the claims as filed and as amended. It must be filed on a separate sheet and must be identified as such by a heading, preferably by using the words "Statement under Article 19(1)."

It may not contain any disparaging comments on the international search report or the relevance of citations contained in that report. Reference to citations, relevant to a given claim, contained in the international search report may be made only in connection with an amendment of that claim.

### Consequence if a demand for international preliminary examination has already been filled

If, at the time of filing any amendments under Article 19, a demand for international preliminary examination has already been submitted, the applicant must preferably, at the same time of filing the amendments with the International Bureau, also file a copy of such amendments with the International Preliminary Examining Authority (see Rule 62.2(a), first sentence).

### Consequence with regard to translation of the international application for entry into the national phase

The applicant's attention is drawn to the fact that, where upon entry into the national phase, a translation of the claims as amended under Article 19 may have to be furnished to the designated/elected Offices, instead of, or in addition to, the translation of the claims as filed.

For further details on the requirements of each designated/elected Office, see Volume II of the PCT Applicant's Guide.

Notes to Form PCT/ISA/220 (second sheet) (January 1994)

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# PATENT COOPERATION TREATY

# PCT

# INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference	FOR FURTHER	see Form PCT/ISA/220		
N 7196/KK	ACTION	as well as, where applicable, item 5 below.		
International application No.	International filing date (day/month	year) (Earliest) Priority Date (day/month/year)		
PCT/EP2004/014867	30/12/2004	30/12/2003		
Applicant	30/12/2004	30/12/2003		
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NCTENGINEERING GMBH				
This International Search Report has been prepared by this International Searching Authority and is transmitted to the applicant according to Article 18. A copy is being transmitted to the International Bureau.				
This International Search Report consists	of a total of she	ets.		
X It is also accompanied by	a copy of each prior art document ci	ted in this report.		
Basis of the report	<u></u>			
a. With regard to the language, the	international search was carried out less otherwise indicated under this ite	on the basis of the international application in the em.		
The International this Authority (Ru		of a translation of the international application furnished to		
b. With regard to any nucle	otide and/or amino acid sequence	disclosed in the international application, see Box No. I.		
2. Certain claims were found unsearchable (See Box II).				
3. Unity of invention is lacking (see Box III).				
4. With regard to the title,				
X the text is approved as submitted by the applicant.				
the text has been established by this Authority to read as follows:				
5. With regard to the abstract,				
l —	ubmitted by the applicant.			
the text has been established, according to Rule 38.2(b), by this Authority as It appears in Box No. IV. The applicant				
may, within one month fr	om the date of mailing of this interna-	ional search report, submit comments to this Authority.		
6. With regard to the drawings,				
a. the figure of the drawings to be	published with the abstract is Figure	No		
X as suggested by the applicant.				
as selected by this Authority, because the applicant failed to suggest a figure.				
as selected by this Authority, because this figure better characterizes the invention.				
b. none of the figures is to	be published with the abstract.			

Form PCT/ISA/210 (first sheet) (January 2004)

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## INTERNATIONAL SEARCH REPORT

International Application No PCT/EP2004/014867

A. CLASSIFICATION OF SUBJECT MATTER IPC 7 G01D5/14 G01D5/16

According to International Patent Classification (IPC) or to both national classification and IPC

### B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)  $IPC\ 7\ G01D$ 

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data

Citation of document, with indication, where appropriate, of the relevant passages	Relevant to daim No.
WO 02/42713 A (FAST TECHNOLOGY AG; MAY, LUTZ, AXEL) 30 May 2002 (2002-05-30)	1,2,4, 15-17, 24,25, 28, 34-36,44
page 8, line 16 - page 10, line 24 page 24, line 11 - page 24, line 24	
US 6 253 460 B1 (SCHMITZ GEOFFREY W) 3 July 2001 (2001-07-03) column 4, line 52 - column 7, line 42	1,2,24, 37,44
EP 1 076 225 A (ALPS ELECTRIC CO., LTD) 14 February 2001 (2001-02-14) column 4, line 24 - column 5, line 52	1,2,24, 33,44
	DUTZ, AXEL) 30 May 2002 (2002-05-30)  page 8, line 16 - page 10, line 24 page 24, line 11 - page 24, line 24  US 6 253 460 B1 (SCHMITZ GEOFFREY W) 3 July 2001 (2001-07-03) column 4, line 52 - column 7, line 42  EP 1 076 225 A (ALPS ELECTRIC CO., LTD) 14 February 2001 (2001-02-14) column 4, line 24 - column 5, line 52

χ Further documents are listed in the continuation of box C.	Patent family members are listed in annex.
Special categories of cited documents:  'A' document defining the general state of the art which is not considered to be of particular relevance  'E' earlier document but published on or after the international filing date  'L' document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)  'O' document referring to an oral disclosure, use, exhibition or other means  'P' document published prior to the international filing date but later than the priority date claimed	<ul> <li>'T' later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</li> <li>'X' document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone</li> <li>'Y' document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combined with one or more other such documents, such combination being obvious to a person skilled in the art.</li> <li>'&amp;' document member of the same patent family</li> </ul>
Date of the actual completion of the international search  8 April 2005	Date of mailing of the international search report  19/04/2005
Name and mailing address of the ISA  European Patent Office, P.B. 5818 Patentlaan 2  NL – 2280 HV Rijswijk  Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  Fax: (+31-70) 340-3016	Authorized officer Stobbelaar, M

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## PATENT COOPERATION TREATY

From the INTERNATIONAL SEARCHING AUTHORITY To: MAINIALD WRITTEN OPINION OF THE see form PCT/ISA/220 Webs CmbH INTERNATIONAL SEARCHING AUTHORITY 19 48 2035 (PCT Rule 43bis.1) MUNCHEN 10.05 hot Date of mailing 1.5 (day/month/year) see form PCT/ISA/210 (second sheet) ለበነ Applicant's or agent's file reference FOR FURTHER ACTION see form PCT/ISA/220 See paragraph 2 below International filing date (day/month/year) Priority date (day/month/year) International application No. 30.12.2003 30.12.2004 PCT/EP2004/014867 International Patent Classification (IPC) or both national classification and IPC G01D5/14, G01D5/16 NCTENGINEERING GMBH This opinion contains indications relating to the following items: Box No. I Basis of the opinion Box No. II Priority Non-establishment of opinion with regard to novelty, inventive step and industrial applicability ☐ Box No. III ☐ Box No. IV Lack of unity of invention Reasoned statement under Rule 43bis.1(a)(i) with regard to novelty, inventive step or industrial Box No. V applicability; citations and explanations supporting such statement Certain documents cited ☐ Box No. VI Box No. VII Certain defects in the international application Box No. VIII Certain observations on the international application **FURTHER ACTION** If a demand for international preliminary examination is made, this opinion will usually be considered to be a written opinion of the International Preliminary Examining Authority ("IPEA"). However, this does not apply where the applicant chooses an Authority other than this one to be the IPEA and the chosen IPEA has notifed the International Bureau under Rule 66.1 bis(b) that written opinions of this International Searching Authority will not be so considered. If this opinion is, as provided above, considered to be a written opinion of the IPEA, the applicant is invited to submit to the IPEA a written reply together, where appropriate, with amendments, before the expiration of three months from the date of mailing of Form PCT/ISA/220 or before the expiration of 22 months from the priority date, whichever expires later. For further options, see Form PCT/ISA/220. For further details, see notes to Form PCT/ISA/220. **Authorized Officer** Name and mailing address of the ISA: European Patent Office <u>0</u>) Stobbelaar, M D-80298 Munich Tel. +49 89 2399 - 0 Tx: 523656 epmu d

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